

# Constructing the Real Numbers via Dedekind Cuts

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## 1 Dedekind Cuts

There are many ways to construct the set of real numbers  $\mathbb{R}$ , but one particularly simple method is using Dedekind cuts. In order to prove a more intuitive way to understand real numbers, Richard Dedekind defined the numbers in  $\mathbb{R}$  by the way in which they split the set of rational numbers into disjoint sets. A number  $r \in \mathbb{R}$  can split  $\mathbb{Q}$  into two sets:  $L : (\frac{a}{b}) < r$  and  $(\frac{a}{b}) > r$ . Thus, a real number is simply defined as the set of rational numbers less than itself; aka,  $L$ . Here, we know that  $L$  is non-empty, bounded above (by  $r$ ) and has no maximum element, since the rationals are infinite. Furthermore, if  $\frac{a}{b} \in L$  and  $0 < \frac{c}{d} < \frac{a}{b}$  then  $\frac{c}{d} \in L$ .

## 2 Addition

We can define the addition of two real numbers  $L_1$  and  $L_2$  as the element-wise summation of the two sets.

**Exercise:** Demonstrate that if  $L_1$  and  $L_2$  are lower cuts, then so is  $L_1 + L_2$ .

Assume that addition of the real numbers is, as defined above, the element-wise summation of the two sets representing the two real numbers being added. Let  $p$  be the real number represented by the set  $L_1$ , and likewise for some  $q$  and  $L_2$ . Then, if  $r_1 = \frac{a}{b} \in L_1$  and  $r_2 = \frac{c}{d} \in L_2$  then  $\frac{a}{b} + \frac{c}{d} = \frac{ad+bc}{bd}$  and since  $\frac{a}{b} < p$  and  $\frac{c}{d} < q$ , we know that  $\frac{ad+bc}{bd} < p + q$  for any  $a, b, c, d \in \mathbb{Z}$ . Therefore,  $L_1 + L_2$  is a lower cut.

We can also demonstrate the typical properties of addition that make the set of real numbers a field. One example is shown below:

**Exercise:** Demonstrate that  $L_1 + L_2 = L_2 + L_1$ .

$L_1 + L_2$  is represented by the element-wise addition of the two sets. We know that addition on the set of real numbers is commutative. Therefore, for any

element in  $L_1 + L_2$ , the corresponding element of  $L_2 + L_1$  is the same. Since the sets have the same elements, this means that  $L_1 + L_2 = L_1 + L_2$ .

We can also extend the same argument to demonstrate other properties of addition, such as commutativity.

### 3 Multiplication

Multiplication of two real numbers can be defined similarly; that is,  $L_1 * L_2$  is the element-wise product of each element in the two sets.

**Exercise:** Demonstrate that if  $L_1$  and  $L_2$  are lower cuts, then so is  $L_1 * L_2$ .

Assume that multiplication of the real numbers is, as defined above, the element-wise product of the two sets representing the two real numbers being multiplied. Let  $p$  be the real number represented by the set  $L_1$ , and likewise for some  $q$  and  $L_2$ . Then, if  $r_1 = \frac{a}{b} \in L_1$  and  $r_2 = \frac{c}{d} \in L_2$  then  $\frac{a}{b} * \frac{c}{d} = \frac{ac}{bd}$  and since  $\frac{a}{b} < p$  and  $\frac{c}{d} < q$ , we know that  $\frac{ac}{bd} < p * q$  for any  $a, b, c, d \in \mathbb{Z}$ . Therefore,  $L_1 * L_2$  is a lower cut.

We can also demonstrate the typical properties of multiplication that make the set of real numbers a field. One example is shown below:

**Exercise:** Demonstrate that  $L_1 * (L_2 + L_3) = L_1 * L_2 + L_1 * L_3$ .

The real number addition and multiplication is performed via element-wise operations on the rational numbers contained within the corresponding sets. For elements  $\frac{a}{b} \in L_1, \frac{c}{d} \in L_2, \frac{e}{f} \in L_3$  we observe that  $\frac{a}{b} * (\frac{c}{d} + \frac{e}{f}) = \frac{acf+ade}{bdf}$  while  $\frac{a}{b} * \frac{c}{d} + \frac{a}{b} * \frac{e}{f} = \frac{ac}{bd} + \frac{ae}{bf} = \frac{acbf+abde}{b^2df} = \frac{acf+ade}{bdf}$ . This indicates  $\frac{a}{b} * (\frac{c}{d} + \frac{e}{f}) = \frac{a}{b} * \frac{c}{d} + \frac{a}{b} * \frac{e}{f}$ . Since this is true for all elements of  $L_1, L_2, L_3$ ,  $L_1 * (L_2 + L_3) = L_1 * L_2 + L_1 * L_3$ .

### 4 Ordering

The order of real numbers can be defined through the subset operator. The real numbers represented by the sets  $L_1$  and  $L_2$  can be ordered like so:  $L_1 \leq L_2$  if  $L_1 \subseteq L_2$ .

**Exercise:** Demonstrate that if  $L_1$  and  $L_2$  are lower cuts, then either  $L_1 \leq L_2$  or  $L_2 \leq L_1$ .

Let  $p$  be the real number represented by  $L_1$ , and likewise for  $q$  and  $L_2$ . If  $L_1, L_2 \in \mathbb{R}$ , then these sets are the sets of all real numbers such that  $\frac{a}{b} < p$  and  $\frac{c}{d} < q$ . Thus,  $L_1$  is the set of all elements  $\frac{a}{b} < p$  and  $L_2$  is the set of all elements  $\frac{c}{d} < q$ . If  $p = q$ , then  $L_1 = L_2$ ; the sets have the same elements. Otherwise, the sets will have unequal numbers of elements. In this case, either  $L_1 \subset L_2$  or  $L_2 \subset L_1$ . Without loss of generality, if  $L_1 \subset L_2$ , then  $L_1 \cap L_2 = \{\frac{c}{d} \in L_2 : \frac{c}{d} > p\}$ . Since  $L_2$  contains elements greater than  $p$ ,  $q > p$  and  $L_2 > L_1$ .

## 5 Completeness

A subset  $S \subseteq \mathbb{R}^+$  is bounded above if  $\exists L_1$  such that  $L \leq L_1 \forall L \in S$ , making  $L_1$  an upper bound for  $S$ . The upper bound that is less than all other upper bounds for  $S$  is known as the supremum, or  $\sup S$ .

**Exercise:** Prove that if  $S \subseteq \mathbb{R}^+$  has a least upper bound then the least upper bound is unique.

Proceed with a proof by contradiction: Assume that  $S$  has two unique least upper bounds,  $\sup S_1$  and  $\sup S_2$ . Then,  $\sup S_1 \neq \sup S_2$  since if they are equal, this contradicts the initial assumption of uniqueness. If  $\sup S_1 \neq \sup S_2$  then  $\sup S_1 > \sup S_2$  or  $\sup S_1 < \sup S_2$ . Both  $\sup S_1 > \sup S_2$  and  $\sup S_1 < \sup S_2$  violate the definition that  $\sup S$  is the least upper bound of  $S$ , since there is an upper bound that is less than the assumed supremum, making either  $\sup S_1$  or  $\sup S_2$  not the least upper bound. Therefore, the least upper bound must be unique.

**Exercise:** Prove that if  $S \subseteq \mathbb{R}^+$  is bounded then  $\sup S$  exists.

Proceed with a proof by contradiction: assume that  $S$  is bounded but there is no  $\sup S$ . If  $\sup S$  does not exist then either  $S$  is unbounded (violating the initial assumption) or the set of all upper bounds of  $S$  has no minimum. Even if the latter is the case, then there is no upper bound to  $S$ . This is because, for any feasible bound that one might take, there is always a bound that is smaller than that, since  $\sup S$  does not exist and there is no least upper bound. This requires  $S$  to not have an upper bound, which contradicts the initial assumption.

## 6 Computability

By one definition, a real number is considered "computable" if we can define a Boolean function  $B$  that meets several criteria. First,  $\exists r \in \mathbb{Q}^+$  for which  $B(r) = 1$  and another such  $\exists r \in \mathbb{Q}^+$  for which  $B(r) = 0$ . Furthermore, if  $B(r) = 1$ , then  $\exists s > r$  with  $B(s) = 1$  and if  $B(r) = 1$  and  $B(s) = 0$  then  $r < s$ . If these criteria are met then  $B$  is a lower cut function for  $r$ .

**Exercise:** Show that if  $B : \mathbb{Q}^+ \rightarrow \{0, 1\}$  is a lower cut function, then  $L := \{r \in \mathbb{Q}^+ : B(r) = 1\}$  is a lower cut.

1. If  $B$  is a lower cut function, then there exists  $r \in \mathbb{Q}^+$  such that  $B(r) = 1$ . Therefore, it is known that  $L$  must be non-empty.
2. Furthermore, if  $B(r) = 1$  and  $B(s) = 0$  then  $r < s$ . Because the rational numbers are ordered, for rational numbers  $a, b, c$ , if  $a < b$  and  $b < c$  then  $a < c$ . Therefore, all values mapped to 0 by  $B$  must be greater than all values mapped to 1 by  $B$ . Thus,  $B$  maps all values  $r$  less than some real number to a value of 1. In more mathematical terms,  $\forall r \in L, \exists s \notin L > r$ . This means that  $L$  is bounded above, and that if  $r_1 \in L$  and  $r_2 < r_1$ ,  $r_2 \in L$ .

3. Finally, we know that  $\forall r$  such that  $B(r) = 1$ ,  $\exists s > r$  with  $B(s) = 1$ . This indicates that  $L$  has no maximum element, since if it did, there would not exist  $s > r$  with  $B(s) = 1$  for the maximum element.

Therefore,  $L$  has all of the criteria of a lower cut.